PUBLIC RULEMAKING HEARINGS
June 9, 2009 at 8:30 a.m.
1109 Dresser Ct., Raleigh, NC 27609

The Board is holding a hearing to solicit input on proposals which would (a) create a licensure category for residential fire sprinkler contractor; (b) modify the experience prerequisite for examination so as to allow a broader range of activity to count as experience; (c) consider elimination of a Board requirement of permit and inspection for replacement of electric residential hot water heaters in some circumstances; (d) clarify contractor obligation during separate employment; (e) make cosmetic and grammatical changes without anticipated impact; (f) clarify the performance standard for HVAC systems installed by licensees and (g) cost of living adjustment of fees.

The public hearing will start at 8:30 am on June 9, 2009 at the Board office (1109 Dresser Ct., Raleigh, NC). The proposed rules are currently available for review at the board’s website (www.nclicensing.org). Any person desiring to present oral data, views, or arguments on the proposed rule is asked to advise the Board at least 10 days prior to the hearing.

Any person who makes an oral presentation is encouraged to submit a written copy of the presentation to the Executive Director prior to or at the hearing.

Licenses That Expired On December 31, 2006

In order to avoid re-examination, the Board requires that all licenses expired on December 31, 2006 must have fees paid through 2009, 18 hours of continuing education obtained (4 of which must be in code changes and amendments) and the appropriate form completed and received correctly no later than December 31, 2009. General Statutes 87-22 provides in part “…the Board requires re-examination upon failure of a licensee to renew license within three years after expiration.”

Board Rule 21NCAC50.0409 REINSTATEMENT OF EXPIRED LICENSE – A license which expires may be reinstated within three years of the date of expiration upon written request and upon payment of the current license fee, the license fee for the unpaid prior years together with the processing fee impose by GS 87-22.
Website Information

After much work the Board’s staff is proud to announce that we have recently added many of the most used forms to our website for easy access by our licensees. To access the forms that have been placed on our website you can go to www.nclicensing.org and click on the “Download Forms” button. Below is a list of available forms that can be downloaded from the website:

Examination Applications & Application
- Plumbing, Heating, & Fuel Piping Examination
- Fire Sprinkler Installation Contractor
- Fire Sprinkler Inspection Contractor
- Fire Sprinkler Inspection Technician with NICET Certification
- Fire Sprinkler Inspection Technician without NICET Certification
- Fire Sprinkler Maintenance Technician

Licensee Forms
- Trade Name Change
- Licensee Address Change

Sub licensee Forms
- Sub licensee Address Change
- Add a Sub licensee
- Delete a Sub licensee

Consumer Forms
- Consumer Complaint Forms

Order Forms
- Reference Books

License Renewal Forms
- Licensees with No Sub licensees
  - Licensee with No Sub licensee – 2009 renewal
  - Licensee with No Sub licensee – Multi-year renewal
- Licensee with Sub licenses
  - Licensee with Sub licensee – 2009 renewal
  - Licensee with Sub licensee – Multi-year renewal

All Current and Up-To-Date Continuing Education Course Schedules are Available at WWW.NCLICENSING.ORG

IMPORTANT TELEPHONE NUMBERS

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Continuing Education Corner
By Erik Rogers, Continuing Education Coordinator

Each year the Board publishes two newsletters, which are designed to keep licensees informed of the activities of the Board and information which may be helpful to the licensees. In the past, both of the newsletters have included the Continuing Education Schedules (January-June and July-December).

As the Board continues to explore new ways to operate in a more cost-effective manner, the newsletter will no longer contain the printed CE schedules. This single change will save the Board thousands of dollars each year in printing and postage costs, and will also benefit the environment by using considerably less paper.

The CE schedule is published on the Board website (www.nclicensing.org), and may be viewed by clicking on the “C.E. Classes” tab. You may also print the schedule if necessary.

A much faster and more convenient way to locate classes is to use the search feature on the C.E. Classes webpage, which will allow you to find classes listed by date range, license type, location, provider, and instructor. By using one or more of the search functions, you can view a wide variety of classes or narrow the results to just a few.

If you do not have internet access at your home or office, most public libraries have computers available for your use, and the staff should be willing to assist you if needed.

In addition, the course providers routinely mail fliers to licensees containing information about upcoming classes that may be of interest.

If you would like to have a printed copy of the CE schedule mailed to you, please send a written request to the Board office requesting it.

We are constantly striving to improve our continuing education program for the benefit of our licensees and providers. Any suggestions or comments are welcomed and appreciated, and may be made via e-mail at ce@nclicensing.org, or by phoning (919)875-3612, ext. 213.

Licensees Required to Bring Code Books to Continuing Education Classes!

Licensees are required to have the latest versions of all pertinent code books at all code related CE classes associated to the classification of license they hold. Plumbing classes would require the North Carolina Plumbing Code, North Carolina Accessibility Code and/or the North Carolina Fuel Gas Code. Mechanical classes would require the North Carolina Mechanical Code, North Carolina Energy Code and/or the North Carolina Fuel Gas Code. Fuel Gas classes would require the North Carolina Fuel Gas Code. Prior to attending class, the licensee will need to verify with the course provider which code book(s) are required for that specific course.

If a licensee does not have the appropriate code book(s), they should check with the course provider to see if the code book(s) will be available for purchase at the class location. Code book(s) can also be purchased through the Department of Insurance at (919)661-5880.
**Consent Agreement Report**

A Consent Agreement is a sworn affidavit taken from unlicensed individuals who have contracted or performed plumbing, heating, air conditioning, fuel piping or fire sprinkler work without a license. These individuals sign a Consent Agreement stating that they will not perform any work requiring a license until they are properly licensed to do so. If you see these individuals in the field contracting or installing work that requires a license, please report them to this office.

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**Boiler Code Book**

Effective August 15, 2007 anyone taking the Heating, Group 1, Class I examination will no longer be allowed to use their copy of the Uniform Boiler and Pressure Vessel Act published by the Department of Labor, Boiler and Pressure Vessel Division. This publication is available to download from the Department of Labor. To secure the creditability of the publication each test site will provide copies to be used by the applicant while testing. If taking the Heating, Group 1, Class I examination check with the proctor prior to entering the exam area.
Permit Warning Letters

A Permit Warning Letter is issued to licensees who have been found to have performed plumbing, heating, air conditioning, fuel piping or fire sprinkler work without a permit having been issued prior to the commencement of the work. Section .0402(a) of the Laws and Rules book states in part that “A licensed contractor shall assure that a permit is obtained from the local Code Enforcement Official before commencing any work for which a license is required by the Board.” If you have questions concerning permits, contact your local inspection office. If it is found that multiple jobs have been performed without first obtaining permits, the Board may take additional disciplinary action against the individual and firm. The following individuals were issued permit warning letters for failure to assure a permit was obtained prior to commencing work:

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<td>05/07/2008</td>
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<td>Wilbert W. Whitson, Jr.</td>
<td>Grandy, NC</td>
<td>01/29/2009</td>
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<tr>
<td>C. Alston William</td>
<td>Rocky Mount, NC</td>
<td>12/03/2008</td>
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<tr>
<td>Franklin W. Williams</td>
<td>Butner, NC</td>
<td>08/08/2008</td>
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<tr>
<td>Robert S. Willetts, III</td>
<td>Bolivia, NC</td>
<td>01/27/2009</td>
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<tr>
<td>Jean-Paul A. Wittman</td>
<td>Newport, NC</td>
<td>10/08/2008</td>
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</tbody>
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BOARD STAFF CHANGES

On May 1, 2009, Kim Atkins submitted her resignation effective May 31, 2009. Kim has been a full-time employee of the Board since October 26, 2007, serving as Complaint Coordinator. The mother of a beautiful baby daughter, she and her husband have decided that she should become a full-time mom. We are grateful for Kim's commitment to her job, and will miss her smiling face and positive attitude. We wish her and her family the best in the years to come.

Assuming the Complaint Coordinator duties on June 1st will be Hannah Whaley. A full-time employee of the Board since, February 16, 2008, Hannah has honed her customer service and clerical skills while serving as Receptionist. Hannah also brings a great attitude, knowledge, and skills to the position, and is looking forward to continuing her service to the licensees of the Board and the citizens of our state.

Also on June 1st, Erik Rogers will assume the Receptionist duties in addition to his current responsibilities as Continuing Education Coordinator. A United States Air Force veteran and full-time employee of the Board since February 4, 2007, Erik will continue to utilize his strong organizational and customer service skills in his daily tasks.

In recent months, the Board has implemented new office technologies which have allowed us to automate a number of time-consuming tasks that were previously performed manually. As a result, two part-time positions were eliminated from the office staff. Elizabeth Rose was employed part-time for the past nine years, performing administrative and clerical duties. Betty Boucher was employed part-time as a transcriptionist for the past two years. We are very appreciative of Elizabeth's and Betty's dedication to their jobs and their contributions to the work of the Board. We wish each of these fine ladies and their husbands many years of health and happiness.

During 2009 all Plumbing, Heating, and Fuel Piping licensees are required to take 4 of the 6 CE hours in North Carolina Code Changes & Amendments.
Field Resolution Agreements and Resolution Review Committee Agreements

A Field Resolution Agreement is a voluntary agreement by which a licensee enters into some type of licensing sanctions against their license in place of appearing before the Resolution Review Committee or the Full Board at a formal hearing. All of the Agreements are reviewed by a member of the Resolution Review Committee and then presented to a Board hearing panel for their approval prior to the agreement being adopted as a final agency decision. If a complaint has not been resolved in the field through a Field Resolution Agreement, then the case can be referred to the Resolution Review Committee. During the Resolution Review Committee meeting, a licensee can enter into a Review Committee Resolution Agreement in place of appearing before the Board at a formal hearing; the agreement is then presented to a Board hearing panel for their approval prior to the agreement being adopted as a final agency decision. Cases that cannot be resolved through the use of Field Resolution Agreements or Review Committee Resolution Agreements are forwarded to formal hearings before the Board. Listed below are the different agreements entered into by the field staff and the Resolution Review Committee that have been approved by the Board. The information below does not contain all of the facts or details of each case, but specific details of any of the following cases can be obtained by e-mail (information@nclicensing.org) or upon written request to the Board’s office.

Allen, Burris
Roto-Rooter Services Company
Charlotte, NC
License# 22749, P-I
Allegations of misconduct in the business of plumbing contracting in that the licensee failed to obtain a permit prior to commencing work and failed to provide adequate supervision.
The license of Burris C. Allen, number 22749 P-I, and that of the firm shall be placed on probation for a period of six (6) months. In the event the licensee violates the conditions of probation, his license and that of the firm will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Anderson, Michael
C & A Plumbing Company, Inc.
Oak Island, NC
License# 09153, P-I
Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee failed to obtain a permit and secure inspections, and violated the State Plumbing Code.
Michael Anderson signed a Proposed Resolution Agreement 08/12/2008.
The license of Michael Theodore Anderson, number 09153, P-I, and that of the firm shall be placed on probation for a period of twenty-four (24) months. In the event that the licensee, Michael T. Anderson, violates the conditions of probation, his license will be suspended for a period of one (1) year, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions.

Abplanalp, Jared
Trenton, NC
License# 24074, P-I
Allegations of misconduct in the business of plumbing contracting in that the licensee failed to comply with the Board rules on bona fide employees, contracted work under a different name or style of business than appears on his license, and failed to provide adequate supervision for work performed under his license.
Jared Abplanalp signed a Proposed Resolution Agreement 11/12/2008.
The license of Jared Abplanalp, number 22749 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of ninety (90) days, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Augsburger, Edward
Duncan, SC
License# 23267, P-I
Allegations of misconduct in the business of plumbing contracting in that the licensee contracted work while his license was expired.
Edward Augsburger signed a Proposed Resolution Agreement 01/13/2009.
The license of Edward Augsburger, number 23267 P-I, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Balboni, Christopher
CB Air/Chris Balboni
Raleigh, NC
License# 23548, H3-I
Allegations of misconduct in the business of heating
contracting in that the licensee subcontracted work from an unlicensed individual.

The license of Christopher Balboni, license number 23548, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event that the licensee, Christopher Balboni, violates the conditions of probation, his license will be suspended for a period of nine (9) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Barbour, Thomas
Budget Rooter Plumbing Company
Fayetteville, SC
License# 24752, P-I
Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee failed to specify payment terms, work schedule or scope of work properly in contract, and violated the State Plumbing Code.
Thomas Barbour signed a Proposed Resolution Agreement 01/07/2009.
The license of Thomas Barbour, number 24752 P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Barkley, Michael
Barkley Heating & Cooling, Inc.
Charlotte, SC
License# 14578, H-3-I
Allegations of misconduct in the business of heating contracting in that the licensee failed to provide adequate duct work and system design.
The license of Michael Barkley, number 14578 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of ninety (90) days, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Bass, David
A-Plus Air, Inc.
Marshville, NC
License# 23662 H2&3-I
Allegations of misconduct, incompetence, and violation of probation order in the business of heating contracting in that the licensee failed to comply with the conditions of probation from the order issued on July 10, 2007 by failing to submit quarterly reports, failure to perform heat loss/heat gains calculations on installations performed during the probation period, and failure to reimburse the Board the agreed $400.00 for investigative expense.
Resolution Conference held 04/02/2009
David Bass signed a Resolution Conference Agreement 04/02/2009.
The license of David Bass, number 23662, and that of the firm shall be placed on supervised probation for a period of eighteen (18) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of eighteen (18) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Batts, Michael
Macclesfield, NC
License# 24474, H-3-II
Allegations of misconduct in the business of heating contracting in that the licensee failed to obtain permits and secure inspections, and failed to provide adequate supervision for work performed under his license.
Resolution Agreement mailed 01/09/2009.
The license of Michael Ray Batts, number 24474, H-3-II, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of nine (9) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Beatty, John Alfred
John Alfred Mechanical
Cary, NC
License# 14580, H-3, H1&2-I
Allegations of misconduct and incompetence in the business of heating contracting in that failed to complete the job in a timely manner, violated the State Mechanical Code, and failed to carry out adequate duct work and system design.
Resolution Agreement mailed 01/09/2009.
The license of John Alfred Beatty, number 14580, H-3, H-1, H-2-I, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event that the licensee, John Alfred Beatty, violates the conditions of probation, his license will be suspended for a period of nine (9) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.
months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions.

Beatty, Starlin
Beatty's Plumbing Company, LLC
New Bern, NC
License# 13930, P-I
Allegations of misconduct in the business of heating contracting in that the licensee failed to provide adequate supervision for work performed under his license.
Starlin Beatty signed a Proposed Resolution Agreement 11/12/2008.
The license of Starlin Beatty, number 13930 P-I, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Bennett, Mary Annette
Ocean Air Heating & Cooling, Inc.
Longwood, NC
License# 28252, H-3-I
Allegations of misconduct and violation of prior probation order of June 12, 2007 in the business of heating contracting in that the licensee violated the probation by contracting work outside her license classification, and operated two offices under one license.
Resolution Conference held 01/08/2009.
Mary Annette Bennett signed Resolution Conference Agreement 01/08/2009.
Licensee had partial objection to signed Resolution Conference Agreement dated 01/08/2009. All matters resolved by consent between members of the Board Review Committee and licensee, Mary Bennett.
Mary Annette Bennett signed revised Resolution Conference Agreement 01/23/2009.
The license of Mary Annette Bennett, number 28252 H-3-I, and that of the firm shall be placed on probation for a period of one (1) year. Upon successful completion of the suspension, the license of Mary Bennett and that of the firm shall be placed on probation for a period of three (3) years. In the event that the licensee, Mary Bennett, violates the conditions of suspension or the probation, her license will be permanently revoked.

Bonetti, James Michael
Total Comfort Services, Inc.
Wilmington, NC
License# 28871, H-3-II
Allegations of misconduct, incompetence, and working without a license in the business of heating contracting in that the licensee failed to obtain a permit and secure inspections, performed the installation of electrical work without the required licenses, permit, and inspections, worked outside the scope of his license, and violated the Board rules on “bona-fide employees”.
James Bonetti signed a Proposed Resolution Agreement 12/16/2008.
The license James Bonetti, number 28871, H-3-II, and that of the firm shall be placed on probation for a period of two (2) years. In the event that the licensee, James Bonetti, violates the conditions of probation, his license will be suspended for a period of one (1) year, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Bostic, William
Tool Time Trades, LLC
Apex, NC
License# 11358, PH-3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to obtain a permit prior to commencing work, and violated the State Mechanical Code.
William Bostic signed a Proposed Resolution Agreement 03/30/2009.
The license of William Bostic, number 11358, and that of the firm shall be placed on unsupervised probation for a period of six (6) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Bradham, Frank
Beach Harbor Heating & A/C
New Bern, NC
License# 22908, H-3-II
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee violated the State Mechanical Code, and failed to carry out adequate ductwork and system design.
Franklin Bradham signed a Proposed Resolution Agreement 02/19/2009.
The license of Franklin Bradham, number 22908, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of ninety (90) days, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.
Field Resolution Agreements and Resolution Review Committee Agreements

Bryant, Bryan  
Bryant Heating & Air Conditioning  
Clyde, NC  
License# 19353, H-3-I  
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to ensure proper system operation, and violated the State Mechanical Code.  
Bryan Bryant signed a Proposed Resolution Agreement 11/03/2008.  
The license of Bryan Bryant, number 19353 H-3-I, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Brinkley, Jimmy  
Romanoff Heating and Cooling Charlotte, LLC  
Charlotte, NC  
License# 29111, H3-I  
Allegations of misconduct in the business of heating contracting in that the licensee subcontracted work to an unlicensed individual, and failed to comply with the Board’s rules and regulations on bona-fide employees.  
Jimmy Brinkley signed a Proposed Resolution Agreement 09/22/2008.  
The license of Jimmy Brinkley, number 29111, and that of the firm shall be placed on probation for a period of six (6) months. In the event that the licensee, Jimmy Brinkley, violates the conditions of probation, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation requirements.

Brown, Christopher  
Chris Brown Heating and Air Conditioning, Inc.  
Lincolnton, NC  
License# 23508, H-3-I  
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to respond to the consumer in a timely manner, violated the State Mechanical Code, and failed to ensure proper system operation.  
Christopher Brown signed a Proposed Resolution Agreement 03/25/2009.  
The license of Christopher Brown, number 23508, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Caldwell, William  
All State Heating & Cooling  
Charlotte, NC  
License# 14155, H-3-I  
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to obtain permit(s) prior to commencing work, failed to obtain final inspections, failed to request inspections within 10 days of substantial completion of work, failed to perform load calculation and duct design, failed to return money to a homeowner that was agreed to have been returned, misconduct in reporting that a condensing unit was struck by lightning when another contractor found that the unit was not struck by lightning, failed to connect the furnace to the duct work, failed to respond to the consumer in a timely manner, violated the minimum standard of the North Carolina State Mechanical Code, failed to have a written contracts, and contracted and performed work outside current licensing qualifications  
Resolution Conference held 04/01/2009  
William Caldwell signed Resolution Conference Agreement 04/01/2009.  
The license of William Caldwell, number 14155, and the license of the firm are suspended for a period of two (2) years. Upon successful completion of the suspension, his license and that of the firm shall be placed on probation for a period of three (3) years. In the event the licensee violates the conditions of probation or suspension, then the license will be permanently revoked.

Caulder, William  
Raeford, NC  
License# 22525, P-I, H-3-I  
Allegations of misconduct in the business of plumbing and heating contracting in that the licensee failed to obtain a permit prior to commencing work.  
William Caulder signed a Proposed Resolution Agreement 01/30/2009.  
The license of William Caulder, number 22525 P-I, H-3-I and that of the firm shall be placed on probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months. In the event the licensee complies with the conditions of probation or suspension, then the license will be permanently revoked.
Field Resolution Agreements and Resolution Review Committee Agreements

Chapman, Patrick
Leicester, NC
License# 19423, P-I
Allegations of misconduct in the business of plumbing contracting in that the licensee failed to comply with the Board’s rules and regulations on bona-fide employees. Patrick Chapman signed a Proposed Resolution Agreement 10/27/2008. Resolution Agreement mailed 02/20/2009. The license of Patrick James Chapman, number 19423, P-I, and that of the firm shall be placed on suspension for a period of twelve (12) months. In the event that the licensee violates the conditions of suspension, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the provisions.

Chopelas, Christopher
Charles In Charge, Inc.
Indian Trail, NC
License# 20080, H-3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed ensure proper system operation, violated the State Mechanical Code, failed obtain a permit prior to commencing work, and failed to provide adequate supervision for work performed under his license. Christopher Chopelas signed a Proposed Resolution Agreement 03/19/2009. The license of Christopher Chopelas, number 20080, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions.

Cochran, Lynn
Blossman Gas of North Carolina, Inc.
Waynesville, NC
License# 23709, FP
Allegations of misconduct in the business of fuel piping in that the licensee failed to ensure proper installation, used his license for more than one office location and failed to secure a permit prior to commencing work. Lynn Cochran signed a Proposed Resolution Agreement 01/08/2009. Resolution Agreement mailed 03/09/2009. The license of Lynn Ulysis Cochran, number 23709 FP, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event that the licensee violates the conditions of suspension, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the provisions.

Connor, Anthony
Select Plumbing
Hickory, NC
License# 22096, P-I
Allegations of misconduct in the business of plumbing contracting in that the licensee obtained a permit for which he did not hold an executed contract with the general contractor or property owner. Anthony Conner signed a Proposed Resolution Agreement 01/21/2009. The license of Anthony Conner, number 22096 P-I, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Cross, Powell
Cross Mechanical Services
Elizabethtown, NC
License# 14601, PH2&3-I
Allegations of misconduct in the business of plumbing and heating contracting in that the licensee failed to comply with the Board rules on bona fide employees. Powell Cross signed a Proposed Resolution Agreement 01/06/2009. The license of Powell Cross, number 14601 PH2&3-I and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of ninety (90) days, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Crow, James
Morganton, NC
License# 23901, P-II
Allegations of misconduct in the business of plumbing contracting in that the licensee subcontracted work to an unlicensed individual. James Crow signed a Proposed Resolution Agreement 06/11/2008. Resolution Agreement mailed 02/02/2009. The license of James Crow, number 23901 P-II, and that of the firm shall be placed on probation for a period of six (6) months. In the event that the licensee violates the conditions of probation, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the provisions.
Field Resolution Agreements and Resolution Review Committee Agreements

Dills, Williams
Dills Plumbing
Hendersonville, NC
License #24385 P-II
Allegations of misconduct, incompetence, and gross negligence in the business of plumbing contracting in that the licensee contracted and performed work outside current licensing qualifications, failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, violated the minimum standard of the North Carolina State Plumbing Code, and created risk of personal injury or property damage.
Resolution Conference held 03/30/2009
William Dills signed Resolution Conference Agreement 03/30/2009.
The license of William Dills, number 24385, and that of the firm shall be placed on supervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Ferguson, Donald
Cherokee Mechanical, Inc.
Cherokee, NC
License# 14739, H-3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to obtain a permit prior to commencing work, violated the State Mechanical Code, and failed to ensure proper system operation.
Donald Ferguson signed a Proposed Resolution Agreement 12/31/2008.
The license of Donald Ferguson, number 14739 H-3-I and that of the firm shall be placed on probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Fincher, Steven
Fincher Mechanical, Inc.
Dallas, NC
License# 13324, H-3-I
Allegations of misconduct, incompetence in the business of heating contracting in that the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, failed to provide adequate supervision, failed to carry out adequate ductwork installation, and violated the minimum standard of the North Carolina State Mechanical Code.
Resolution Conference held 04/01/2009
Steven Fincher signed a Resolution Conference Agreement 04/01/2009.
The license of Steven Fincher, number 13324, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Foyles, James
James R. Foyles Sr. Plumbing Company
Kinston, NC
License# 11793, P-I
Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee failed to ensure proper equipment sizing, system operation and failed to respond to the customer in a timely manner.
James Ronald Foyles signed a Proposed Resolution Agreement 07/31/2008.
The license of James Ronald Foyles, number 11793, P-I, and that of the firm shall be placed on unsupervised probation for a period of six (6) months. In the event that the licensee, James R. Foyles, violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Hadley, Michael
Climate Control Htg & Cooling Co Inc./
d/b/a Coastal Geo Thermal
Jacksonville, NC
License# 09080, H2& H-3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to obtain a permit prior to commencing work, failed to secure an inspection within ten (10) days of completing the work, failed to ensure proper system operation, and violated the State Mechanical Code.
Michael Hadley signed a Proposed Resolution Agreement 04/07/2009.
The license of Michael Hadley, number 09080, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of ninety (90) days, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.
Field Resolution Agreements and Resolution Review Committee Agreements

Garner, Larry
Holiday Comfort Parts, Inc
Charlotte, NC
License# 16719, H-3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to provide adequate supervision, failed to carry out adequate ductwork installation and system design, and violated the minimum standard of the North Carolina State Mechanical Code.
Resolution Conference held 01/29/2009.
The license of Larry Levis Garner, number 16719, H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event that the licensee violates the conditions of probation, his license will be suspended for a period of four (4) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Gomes, Kevin
Integration Incorporated dba Pronto Plumbing
Greensboro, NC
License# 18003, P-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to provide adequate supervision for work performed under his license, and failed to respond to the customer in a timely manner.
Kevin Gomes signed a Proposed Resolution Agreement 11/12/2008.
The license of Kevin Gomes, number 18003 P-I and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions.

Greene, Tony
T. Greene Plumbing
Sparta, NC
License# 16406, P-I
Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee violated the minimum standard of the North Carolina State Plumbing Code, installed Air Admittance Valves located inside the building walls without grills and access.
Resolution Conference held 01/29/2009.

Tony Glenn Green signed modified Resolution Conference Agreement 03/16/2009.
The license of Tony Greene, number 16406, P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event that the licensee violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Haddon, Mitchell
Richmond, VA
License# 19272, PH1, 2&3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to list his license properly, failed to provide adequate supervision for work performed under his license, and failed to sign permits and contracts on which his license number appeared.
Mitchell Haddon signed a Proposed Resolution Agreement 02/19/2009.
The license of Mitchell Haddon, number 19272, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Hightower, Shannon
Smithfield, NC
License# 18739, H-3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to obtain permits and secure inspections, and failed to provide adequate supervision for work performed under his license.
Resolution Agreement mailed 01/09/2009.
The license of Shannon B. Hightower, number 18739, H-3-I, and that of the firm shall be placed on suspension for a period of nine (9) months. In the event that the licensee, Shannon B. Hightower, violates the conditions of suspension, his license will be suspended for a period of twelve (12) additional months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Hinson, Jeffrey
Webb Technologies, Inc.
Taylors, SC
License# 20458, H2&3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to provide adequate supervision and carry out adequate ductwork installation and system design, and violated the minimum standard of the North Carolina State Mechanical Code.
Resolution Conference held 01/29/2009.
The license of Larry Levis Garner, number 16719, H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event that the licensee violates the conditions of probation, his license will be suspended for a period of four (4) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.
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<thead>
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<th>Allegations of misconduct in the business of heating contracting in that the licensee failed to request an inspection within 10 days of substantial completion of the work. Jeffrey Hinson signed a Proposed Resolution Agreement 01/15/2009. The license of Jeffrey Hinson, number 20458 H-3-I and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of ninety (90) days, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.</th>
<th>Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to provide adequate supervision, failed to carry out adequate ductwork installation and system design, and violated the minimum standard of the North Carolina State Mechanical Code. Resolution Conference held 03/30/2009 Edd Jenkins signed Resolution Conference Agreement 03/30/2009. The license of Edd Jenkins, number 07229, and that of the firm shall be placed on unsupervised probation for a period of six (6) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Hinson, Timmy Allen</strong>  <strong>Hinson Plumbing</strong>  <strong>Whiteville, NC</strong>  <strong>License# 10216, P-I</strong>  Allogations of misconduct in the business of plumbing contracting in that the licensee allowed others to use his license and failed to provide adequate supervision on jobs performed under his license. Resolution Conference held 12/04/2008. Timmy Hinson signed Resolution Conference Agreement 12/04/2008. The license of Timmy Allen Hinson, number 10216, P-I, and that of the firm shall be placed on supervised probation for a period of two (2) years. In the event that the licensee, Timmy Hinson, violates the conditions of probation, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.</td>
<td><strong>Jenkins, Tina</strong>  <strong>Tina Moncus Heating &amp; Air Conditioning, LLC</strong>  <strong>Lexington, NC</strong>  <strong>License# 03741, H2&amp;3-I</strong>  Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, failed to provide adequate supervision, violated the minimum standard of the North Carolina State Mechanical Code, failed to perform load calculations and duct designs for systems installed, and failed to respond to consumer in a timely manner/poor business practices. Resolution Conference held 04/25/2009. Tim Moncus Jenkins signed Resolution Conference Agreement 02/25/2009. The license of Tina Jenkins, number 03741, H2&amp;3-I, and that of the firm shall be suspended for a period of six (6) months. Upon successful completion of the suspension, her license, number 03741 and that of the firm shall be placed on probation for a period of eighteen (18) months. In the event that the licensee violates the conditions of probation, her license will be suspended for a period of two (2) years, with the requirement that prior to the suspended license being reinstated she must comply with all of the probation requirements.</td>
</tr>
<tr>
<td><strong>Hopkins, George</strong>  <strong>Youngsville, NC</strong>  <strong>License# 19867, H1,H2&amp;3-I</strong>  Allegations of misconduct in the business of heating contracting in that the licensee allowed others to use his license. George Hopkins signed a Proposed Resolution Agreement 01/15/2009. The license of George Hopkins, number 19867 H1,2&amp;3H-3-I and that of the firm shall be suspended for a period of ninety (90) days. Upon successful completion of the suspension, his license and that of the firm shall be placed on probation for one (1) year. In the event the licensee violates the conditions of suspension or probation, his license will be suspended for a period of one (1) year, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.</td>
<td><strong>Johnson, Shannon</strong>  <strong>Native Air (Heating &amp; Air Conditioning) LLC</strong>  <strong>Lowell, NC</strong>  <strong>License# 19053, H2&amp; H-3-I</strong>  Allegations of misconduct in the business of heating contracting in that the licensee failed to obtain a permit, and</td>
</tr>
</tbody>
</table>
Field Resolution Agreements and Resolution Review Committee Agreements

failed to secure inspections.
The license of Shannon Johnson, number 19053, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Jones, Ronnie L.
Jones' Heating & Air Conditioning
Pikeville, NC
License# 8591, H-3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to size equipment properly, and violated the minimum standard of the North Carolina State Mechanical Code.
Resolution Conference held 01/08/2009.
Ronnie L. Jones signed Resolution Conference Agreement 01/08/2009.
A Letter of Caution will be issued against the license of Ronnie L. Jones, number 8591 H-3-I. Within 30 days of the Resolution Conference, Mr. Jones must return to the complainant’s home and increase the insulation values for the roof and walls for the attic area to assure that the load calculation meets the requirement of the 1.5 ton unit installed at the structure. If the repairs have not been completed as listed above, then the licensee, Ronnie Jones, agreed that his license, number 8591 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event, the licensee, Ronnie L. Jones violates the conditions of probation, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Keck, Thomas
A T H Service Group
Asheville, NC
License# 11983, H1&3-I
Allegations of misconduct in the business of heating contracting in that the licensee failed to ensure proper system operation, and failed to obtain a permit prior to commencing work.
Resolution Agreement mailed 03/09/2009.
The license of Thomas Keck, number 11983, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event that the licensee violates the conditions of suspension, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the provisions.

Kimberlin, William
B.K. Plumbing
Kannapolis, NC
License# 23265, P-II
Allegations of misconduct and working without a license in the business of plumbing contracting in that the licensee failed to obtain a permit and secure inspections, and worked outside of his license qualifications.
William Kimberlin signed a Proposed Resolution Agreement 12/01/2008.
The license of William Kimberlin, number 23265, P-II, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event that the licensee, William Kimberlin, violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Kimbrell, John
SBI Contractors Inc.
Wilmington, NC
License# 19906, P-I
Allegations of misconduct in the business of plumbing contracting in that the licensee failed to secure final inspections.
The license John Kimbrell, number 19906, P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event that the licensee, John Kimbrell, violates the conditions of probation, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Kiser, Jonathan
Elite Contracting Solutions Inc.
Wilmington, NC
License# 21654, H2&3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee violated the State Mechanical Code, and failed to ensure proper system operation.
Proposed Resolution Agreement approved 02/17/2009.
The license of Jonathan Kiser, number 21654 H2&3-I and that of the firm shall be placed on unsupervised
<table>
<thead>
<tr>
<th>Licensee Name</th>
<th>Business Name</th>
<th>License Number</th>
<th>Allegations</th>
<th>Resolution Agreement Dates</th>
</tr>
</thead>
<tbody>
<tr>
<td>Kisiah, Donald</td>
<td>S &amp; R Services, Inc.</td>
<td>License# 18055, H1,2&amp;3-I</td>
<td>Allegations of misconduct, incompetence, and working without a license in the business of plumbing contracting in that the licensee failed to provide adequate supervision, violated the minimum standard of the North Carolina State Plumbing Code, violated the minimum standard of the North Carolina State Fuel Gas Code, failed to install gas piping correctly, and contracted and performed work outside current licensing qualifications.</td>
<td>Donald Kisiah signed Resolution Conference Agreement 01/29/2009. The license of Donald Kisiah, number 18055, H-1,2&amp;3-I, and the license of the firm be suspended for a period of six (6) months. In the event that the licensee violates the conditions of suspension, his license will be permanently revoked.</td>
</tr>
<tr>
<td>Lamb, Larry</td>
<td>Lamb’s Plumbing</td>
<td>License# 14010, P-I</td>
<td>Allegations of misconduct and selling license in the business of plumbing contracting in that the licensee allowed use of his license by an unlicensed company.</td>
<td>Larry Lamb signed Resolution Conference Agreement 03/30/2009. The license of Larry Lamb, number 14010, and that of the firm shall be placed on supervised probation for a period of eighteen (18) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.</td>
</tr>
<tr>
<td>Long, Roger Hartmann</td>
<td>Paradise Heating &amp; Air Co.</td>
<td>License# 29224, H-3-II</td>
<td>Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to secure final inspections, and violated the State Mechanical Code.</td>
<td>Roger Hartmann signed a Proposed Resolution Agreement 02/02/2009. The license of Roger Hartmann, number 29224, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.</td>
</tr>
<tr>
<td>Maultsby, Carlton</td>
<td>B &amp; M Heating &amp; Air Conditioning, Inc.</td>
<td>License# 13019, H-3-I</td>
<td>Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to obtain permits and secure inspections, failed to provide adequate supervision for work performed under his license and failed to carry out adequate duct work.</td>
<td>Carlton Maultsby signed a Proposed Resolution Agreement 09/05/2008. Resolution Agreement mailed 01/09/2009. The license of Carlton Maultsby, number 13019, H-3-I, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event that the licensee, Carlton Maultsby, violates the conditions of suspension, his license will be suspended for a period of ninety (90) days, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.</td>
</tr>
<tr>
<td>Malone, Brian</td>
<td>Malone Plumbing Co.</td>
<td>License# 27950, P-I</td>
<td>Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within ten (10) days of substantial completion of work, failed to obtain final inspections, and failed to obtain final inspection on a job after having received a permit warning letter from the Board.</td>
<td>Brian Malone signed Resolution Conference Agreement 04/01/2009. The license of Brian Malone, number 27950, and the license of the firm are suspended for a period of six (6) months. The suspension will not take effect until such time as the license has been renewed. Upon successful completion of the suspension, his license and that of the firm shall be placed on supervised probation for a period of two (2) years. In the event the licensee violates the conditions of probation, his license</td>
</tr>
</tbody>
</table>
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will be suspended for a period of eighteen (18) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

McCarter, Timothy
Tim McCarter Plumbing, Inc.
Grifton, NC
License# 19702, P-I
Allegations of misconduct and gross negligence in the business of plumbing contracting in that the licensee created the risk of personal injury and/or property damage.
Resolution Agreement mailed 01/09/2009.
The license of Timothy Jack McCarter, number 19702, P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event that the licensee, Timothy Jack McCarter, violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation requirements.

McCarty, Fred
Granite Falls, NC
License# 9233, P-I
Allegations of misconduct, incompetence, and selling license in the business of plumbing contracting in that the licensee failed to obtain permit(s) prior to commencing work, violated the minimum standard of the North Carolina State Plumbing Code, subcontracted work from an unlicensed individual, allowed others to use license, and obtained a permit and allowed his license number to appear on a permit on a job where the licensee did not hold the contract with the homeowner or general contractor and did not receive the contractual payment for the job.
Resolution Conference held 01/28/2009.
The license of Fred McCarty, number 9233 P-I, and the license of the firm is suspended for a period of six (6) months. Upon successful completion of the suspension, the licensee agreed that his license, number 9233 P-I, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Miller, Douglas
Douglas Heat & Cool
Belmont, NC
License# 15365, H-3-I
Allegations of misconduct in the business of heating contracting in that the licensee failed to ensure proper system operation.
Douglas Miller signed a Proposed Resolution Agreement 03/19/2009.
The license of Douglas Miller, number 15365, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Morrissey, Christopher
Morrissey Mechanical
Raleigh, NC
License# 19875, H-3-I
Allegations of misconduct and working without a license in the business of heating contracting in that the licensee contracted to perform work outside of his license qualifications.
Christopher Morrissey signed a Proposed Resolution Agreement 07/31/2008.
Resolution Agreement mailed 12/18/2008.
The license of Christopher Michael Morrissey, number 19875, H-3-I, and that of the firm shall be placed on supervised probation for a period of twelve (12) months. In the event that the licensee, Christopher Michael Morrissey, violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Nystrom, Harold
Atlantic Shores Heating & Cooling, Inc.
Suffolk, VA
License# 29041, H-3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, failed to provide supervision, and contracted and performed HVAC work prior to obtaining a NC license.
Resolution Conference held 12/04/2008.
The license of Harold Nystrom, number 29041 H-3-I, and the license of the firm be suspended until a final inspection has been issued for the job located at 614
Highway 158 East, Sunberry, NC. Upon successful completion of the suspension, the license of Harold Nystrom, number 29041 H-3-I, and that of the firm shall be placed on probation for a period of one (1) year. In the event that the licensee, Harold Nystrom, violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

O'Connell, Brian M.
NC Comfort Systems, Inc.
Jacksonville, NC
License# 21425, H-3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to secure final inspections, failed to obtain permits, and failed to provide adequate supervision.
The license Brian M. O'Connell, number 21425, H-3-I, and that of the firm shall be placed on probation for a period of two (2) years. In the event that the licensee, Brian M. O'Connell, violates the conditions of probation, his license will be suspended for a period of one (1) year, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Parlier, Rufus
Parlier Plumbing Repair
Burgaw, NC
License# 13448, P-I
Allegations of misconduct in the business of plumbing contracting in that the licensee contracted work on an expired license, failed to obtain a permit prior to commencing work, failed to secure inspection within 10 days of completion, failed to have a written contract between the licensee and homeowner, and failed to provide adequate supervision for work performed under his license.
Rufus Parlier signed a Proposed Resolution Agreement 03/12/2009.
The license of Rufus Parlier, number 13448, and that of the firm shall be placed on probation for a period of twenty-four (24) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Patton, Jeffrey
Patton Plumbing
Nebo, NC

License# 19051, P-I
Allegations of misconduct in the business of plumbing contracting in that the licensee contracted work while his license was expired.
Resolution Agreement mailed 03/09/2009.
The license of Jeffrey Patton, number 19051, and that of the firm shall be placed on probation for a period of eighteen (18) months. In the event that the licensee violates the conditions of suspension, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the provisions.

Pierce, Harold
Soun-Shine Heating & A/C
Fayetteville, NC
License# 10566, H-3-I
Allegations of misconduct in the business of heating contracting in that the licensee failed to secure final inspections on jobs.
Resolution Agreement mailed 01/12/2009.
The license of Harold Maurice Pierce, Sr., number 10566 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of six (6) months. In the event that the licensee violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the provisions.

Pope, Randy
Piedmont Air Conditioning
Wilmington, NC
License# 13358, H1,2,&3-I
Allegations of misconduct and selling license in the business of heating contracting in that the licensee allowed permits to be obtained using his license, and he failed to provide adequate supervision.
Randy Pope signed a Proposed Resolution Agreement 10/03/2008.
Resolution Agreement mailed 12/22/2008.
The license of Randy Poole Pope, number 13358 H-1, H-2, H-3-I, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event that the licensee, Randy Poole Pope, violates the conditions of probation, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.
Puckett, Donald  
Waynesville, NC  
License# 21478, P-II  
Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee failed to secure final inspection and failed to obtain a permit.  
Donald Puckett signed a Proposed Resolution Agreement 11/14/2008.  
The license Donald Puckett, number 21478, P-II, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event that the licensee, Donald D. Puckett, violates the conditions of probation, his license will be suspended for a period of one (1) year, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Reed, Johnnie  
Reed's Plumbing Company, Inc  
Charlotte, NC  
License# 23947, P-I  
Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee failed to obtain permit(s) prior to commencing work, violated the minimum standard of the North Carolina State Plumbing Code, violated the minimum standard of the North Carolina State Fuel Gas Code, failed to specify payment terms, work schedule or scope of work properly in contract, and started a commercial plumbing installation prior to approval of the plans by the local inspection department.  
Resolution Conference held 01/28/2009.  
Johnnie Lee Reed signed Resolution Conference Agreement 01/28/2009.  
The license of Johnnie Lee Reed, number 23947 P-I, and that of the firm shall be placed on supervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, the license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions.

Reinhardt, Forney  
Forney Wilson Reinhardt  
Iron Station, NC  
License# 08106, H2& H-3-I  
Allegations of misconduct in the business of heating contracting in that the licensee allowed his license number to be used for a permit for which he did not hold the contract, and violated the Board rules and regulations on bona-fide employees.  
Forney Reinhardt signed a Proposed Resolution Agreement 03/19/2009.  
The license of Forney Reinhardt, number 08106, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Robbins, Johnny  
Granite Falls, NC  
License# 18673, P-I  
Allegations of misconduct in the business of plumbing contracting in that the licensee engaged in business under a different name than appears on his license, failed to obtain money and abandoned jobs.  
Johnny Robbins signed a Proposed Resolution Agreement 05/15/2008.  
Resolution Agreement mailed 01/09/2009.  
The license of Johnny W. Robbins, number 18673, P-I, and that of the firm shall be placed on probation for a period of eighteen (18) months. In the event that the licensee, Johnny W. Robbins, violates the conditions of probation, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Robinson, Kevin  
Alexis, NC  
License# 24067, P-I  
Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee obtained permits and secure inspections for contracted jobs performed without adequate permits and secure inspections.  
Kevin Robinson signed a Proposed Resolution Agreement 09/26/2008.  
Resolution Agreement mailed 01/09/2009.  
The license of Kevin E. Robinson, number 24067, P-I, and that of the firm shall be placed on suspension for a period of twelve (12) additional months, with the requirement that prior to the suspended license being reinstated he must comply with all of the suspension requirements.

Santis, John  
Davie Heating and Air Conditioning, Inc.  
Salisbury, NC  
License# 19497, H-3-I  
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to carry out adequate ductwork, installation, and violated the minimum standard of the North Carolina State Mechanical Code.
John Santis signed a Proposed Resolution Agreement 04/09/2009.
The license of John Santis, number 19497, and that of the firm shall be placed on unsupervised probation for a period of nine (9) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Shuffler, Brian
Advance Plumbing
Gastonia, NC
License# 22576, P-II
Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee failed to obtain a permit and secure inspections, violated the plumbing code, and failed to comply with the Board rules and regulations on bona-fide employees.
Brian D. Shuffler signed a Proposed Resolution Agreement 01/28/2008.
Resolution Agreement mailed 12/03/2008.
The license of Brian D. Shuffler, license number 22576, and that of the firm shall be placed on probation for a period of six (6) months. In the event that the licensee, Brian D. Shuffler, violates the conditions of probation, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Slone, Larry J.
Statesville, NC
License# 12158, P-I
Allegations of misconduct in the business of plumbing contracting in that the licensee accepted a contract for licensed work from unlicensed individual.
Larry Slone signed a Proposed Resolution Agreement 05/13/2008.
Recommendation that licensee attend conference.
Resolution Conference held 03/31/2009.
The license of Larry J. Slone, number 12158, and that of the firm shall be placed on supervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Smith, Damian J.
Plumbing Professionals
Currie, NC
License# 29588, P-I
Allegations of misconduct in the business of plumbing contracting in that the licensee failed to secure inspections.
Damian Smith signed a Proposed Resolution Agreement 03/12/2009.
The license of Damian Smith, number 29588, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of ninety (90) days, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Smith, Earl
Utility Partner of America of South Carolina Inc
Greenville, NC
License# 24417, P-I
Allegations of misconduct in the business of plumbing contracting in that the licensee obtained money and abandoned the job.
Earl Smith signed a Proposed Resolution Agreement 10/06/2008.
Resolution Agreement mailed 01/09/2009.
The license of Earl Louis Smith II, number 24417, P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event that the licensee, Earl Louis Smith II, violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Spielman, Charles
Fred’s Oil & Gas Burner Service
Charlotte, NC
License# 09106, H-3-I
Allegations of misconduct, incompetence, and selling license in the business of heating contracting in the licensee failed to ensure proper system operation, allowed others to use his license, and failed to complete the job in a timely manner.
Charles Spielman signed a Proposed Resolution Agreement 06/25/2008.
Resolution Agreement mailed 12/03/2008.
The license of Charles Spielman, license number 09106, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event that the licensee, Charles Spielman, violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.
Stewart, Robert Joseph  
Stew's Heating and Cooling, Inc.  
Cedar Mountain, NC  
License# 25645, H-3-II

Allegations of misconduct in the business of heating contracting in that the licensee failed to obtain a permit prior to commencing work, failed to secure final inspection, and violation of the State Mechanical Code.

Robert Joseph Stewart signed a Proposed Resolution Agreement 02/16/2009.

The license of Robert Stewart, number 25645, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Stewart, Matthew  
72 Degrees  
Hickory, NC  
License# 24489, H1-II, H3-I

Allegations of misconduct, incompetence, and working without a license in that the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to obtain final inspections, violated the minimum standard of the North Carolina State Mechanical Code, contracted and performed work outside current licensing qualifications, failed to perform proper load calculations and duct designs, and failed to have HVAC system engineered designed per State Code.

Resolution Conference held 11/05/2008.

Matthew Stewart signed Resolution Conference Agreement 11/05/2008.

The license of Matthew Stewart, number 24489, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months. In the event that the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions.

Summers, Tim Mark  
Summers Plumbing, Inc  
Statesville, NC  
License# 13629, P-I

Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee failed to ensure proper system operation.

Tim Summers signed a Proposed Resolution Agreement 05/20/2008.

Resolution Agreement mailed 12/22/2008.

The license of Tim Mark Summers, number 13629, P-I, and that of the firm shall be placed on unsupervised probation for a period of six (6) months. In the event that the licensee, Tim Mark Summers, violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions.

Taylor, Michael  
Suburban Propane Gas Corp.  
Asheville, NC  
License# 22967, P-I

Allegations of misconduct, incompetence, and gross negligence in the business of plumbing contracting in that the licensee failed to install gas piping correctly and created a risk of personal injury and property damage.

Michael Taylor signed a Proposed Resolution Agreement 04/30/2008.

Resolution Agreement mailed 03/09/2009.

The license of Michael Taylor, number 22967, and that of the firm shall be placed on supervised probation for a period of six (6) months. In the event that the licensee violates the conditions of suspension, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the provisions.

Tedder, Richard  
Davis Heating & Air Conditioning Co of Wilson, Inc  
Wilson, NC  
License# 14708, H-3-II

Allegations of misconduct in the business of heating contracting in that the licensee failed to secure final inspections.

Richard Tedder signed a Proposed Resolution Agreement 02/03/2009.

The license of Richard Tedder, number 14708, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of nine (9) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Thomas, James  
JSH Mechanical, LLC  
Snow Hill, NC  
License# 23436, H3-II

Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to obtain a permit, failed to complete the job in a timely manner, and violated the State Mechanical Code.
James Patrick Thomas signed a Proposed Resolution Agreement 11/24/2008. The license of James Thomas, number 23436 H3-II, and the license of the firm shall be suspended for a period of 6 months. In the event that the licensee, James P. Thomas, violates the conditions of suspension, his license will be revoked, with the requirement that prior to the license ever being reinstated; the licensee must comply with all of the suspension requirements.

Thomason, Karl
Karl Thomason Plumbing, LLC
Hickory, NC
License# 12568, P-I
Allegations of misconduct and incompetence in the business of plumbing contracting in that the licensee failed to secure final inspections, failed to obtain permits, failed to respond to the customer in a timely manner, and violated the State Plumbing Code.
Karl Thomason signed a Proposed Resolution Agreement 01/29/2009. The license of Karl Thomason, number 12568, listed as Karl Thomason Plumbing, and that of the firm shall be placed on probation for a period of twelve (12) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Thompson, Richard
Erie, PA
License# 22095, P-I
Allegations of misconduct in the business of plumbing contracting in that the licensee failed to obtain a permit; obtained money and abandoned the job.
Resolution Conference held 12/04/2008.
Richard S. Thompson received certified mail 11/24/2008/ failed to appear.
The Review Committee determined that Richard Scott Thompson, although duly served with the Invitation Letter, failed to appear at the Resolution Conference on December 4, 2008. Therefore, the license of Richard Scott Thompson, number 22095, to engage in business as a plumbing contractor will be suspended effective as of the date the license is renewed. The suspension will continue until the licensee attends a Resolution Conference at the Board’s office. Resolution Agreement mailed 01/12/2009.

Tucker, Ernest
Charlotte, NC
License# 00327, PH-3-I
Allegations of misconduct and incompetence in the business of plumbing and heating contracting in that the licensee violated the State Plumbing Code, and failed to provide adequate supervision for work performed under his license.
Ernest Tucker signed a Proposed Resolution Agreement 01/15/2009. The license of Ernest Tucker, number 00327, and that of the firm shall be placed on unsupervised probation for a period of six (6) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of thirty (30) days, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Turnage, Larry
Turnage Heating and A/C
Ocean Isle Beach, NC
License# 12287, P-I
Allegations of misconduct in the business of heating contracting in that the licensee failed to obtain inspections.
Larry Turnage signed a Proposed Resolution Agreement 12/31/2008. The license, number 12287 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of 12 months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of ninety (90) days, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Walker, Craig
Craig Walker Heat & Air, LLC
Moravian Falls, NC
License# 21549, H-3-I
Allegations of misconduct in the business of heating contracting in that the licensee failed to carry out proper system design and failed to ensure proper system operation.
Craig Walker signed a Proposed Resolution Agreement 10/30/2008. Resolution Agreement mailed 01/12/2009. The license of Craig Russell Walker, number 21549, H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event that the licensee violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the provisions.

Walker, David
DEW Enterprises of NC
Burlington, NC
License# 15130, P-I
Allegations of misconduct in the business of plumbing in that the licensee obtained money and abandoned the job.
David Walker signed a Proposed Resolution Agreement 02/16/2009.
The license of David Walker, number 15130, and that of the firm shall be placed on probation for a period of one (1) year. In the event the licensee violates the conditions of probation, his license will be suspended for a period of six (6) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Webb, Kenneth
Pro-Plumb Plumbing
Charlotte, NC
License# 28269, P-I
Allegations of misconduct in the business of plumbing contracting in that the licensee failed to obtain a permit prior to commencing work, failed to respond to the customer in a timely manner, engaged in business under a different name or style of business than appears on his license, and failed to comply with the Board rules on bona fide employees.
The license of Kenneth Webb, number 28269, P-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event that the licensee violates the conditions of suspension, his license will be suspended for a period of ninety (90) days, with the requirement that prior to the suspended license being reinstated he must comply with all of the provisions.

Wells, Tim
Vanceboro, NC
License# 15474, H-3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to ensure proper system operation, and failed to obtain a permit prior to commencing work.
Tim Wells signed a Proposed Resolution Agreement 08/07/2008.
The license of Tim Wells, number 15474, and that of the firm shall be placed on probation for a period of twenty-four (24) months. In the event that the licensee violates the conditions of suspension, his license will be suspended for a period of two (2) years, with the requirement that prior to the suspended license being reinstated he must comply with all of the provisions.

Williams, Franklin
Williams Heating and Air Conditioning
Butner, NC
License# 23485, H2&3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to obtain permits and secure inspections, failed to provide adequate supervision for work performed under his license and failed to carry out adequate duct work.
Franklin Williams signed a Proposed Resolution Agreement 08/20/2008.
Resolution Agreement mailed 01/09/2009.
The license of Franklin Wayne Williams, number 23486 H-2, H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event that the licensee, Franklin Wayne Williams, violates the conditions of probation, his license will be suspended for a period of nine (9) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation requirements.

Wittman, Jean-Paul
Plisko Electric
Newport, NC
License# 23617, H-3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee engaged in business under a different name than appears on his license and failed to obtain permits and secure inspections under the properly licensed name.
Jean-Paul Wittman signed a Proposed Resolution Agreement 10/08/2008.
Resolution Agreement mailed 01/09/2009.
The license of Jean-Paul Andrew Wittman, number 23617 H-3-I, and that of the firm shall be placed on unsupervised probation for a period of twelve (12) months. In the event that the licensee, Jean-Paul Andrew Wittman, violates the conditions of probation, his license will be suspended for a period of three (3) months, with the requirement that prior to the suspended license being reinstated he must comply with all of the probation provisions.

Wood, Danny
IIIrd Tradition Heating and Air Conditioning
Waynesville, NC
License# 19922, H2&3-I
Allegations of misconduct and incompetence in the business of heating contracting in that the licensee failed to obtain permit(s) prior to commencing work, failed to request inspections within 10 days of substantial completion of work, failed to provide supervision, failed to carry out adequate ductwork installation and system design, and violated the minimum standard of the North Carolina State Mechanical Code.
Resolution Conference held 03/30/2009
Danny Wood signed Resolution Conference Agreement 03/30/2009.
The license of Danny Wood, number 19922, and that of the firm shall be placed on supervised probation for a period of three (3) years. In the event the licensee violates the
conditions of probation, his license will be suspended for a period of three (3) years, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

Woolard, Michael
B & W Heating & Cooling
Ash, NC
License# 20007, H-3-I
Allegations of misconduct in the business of heating in that the licensee operated two branches (Ocean Air Heating & Cooling) without a separate license for the South Port office.
Resolution Conference held 02/25/2009.
The license of Michael Woolard, number 20007, and that of the firm shall be placed on supervised probation for a period of twenty-four (24) months. In the event the licensee violates the conditions of probation, his license will be suspended for a period of twelve (12) months, with the requirement that prior to the suspended license being reinstated, he must comply with all of the probation provisions.

## Attorney’s Report

### AUTRY, BILLY GENE
Faison, NC
Heating, Group 3-Class II contracting without a license.
Permanent Injunction Judgment filed 10/9/08 enjoining Defendant from engaging in the business of plumbing, heating or fire sprinkler contracting until properly licensed to do so.

### BAILEY, BOBBY
d/b/a BAILEY’S PLUMBING & HEATING
Durham, NC
Plumbing and heating contracting without a license.
Complaint for Injunction served 7/11/08.
Order Granting Summary Judgment filed 2/9/09 enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.

### BURTON, JIMMY A.
d/b/a AIR TECH – I LLC
Kannapolis NC
Heating contracting without a license.
Complaint for Injunction filed 6/23/08.
Judgment of Permanent Injunction entered 1/15/09 in Cabarrus County Superior Court enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.

### CANADY, HERBERT EDWARD, JR.
d/b/a CANADY’S REFRIGERATION
Fayetteville NC
Heating contracting without a license.
Complaint and Summons filed with Cumberland County Clerk of Superior Court 4/7/08.
Permanent Injunction Judgment entered 12/8/08 enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.

### CHAVIS, CHARLES DONNIE, JR.
Sneads Ferry NC

### CHILDS, ALEX W.
Ahoskie, NC
Heating contracting without a license.
Complaint and Summons to Clerk on 10/31/08.
Permanent Injunction Judgment entered 1/23/09 enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.

### DEAN, CHRISTOPHER
d/b/a ABSOLUTE HEATING & AIR
Clayton NC
Allegations of heating contracting without a license.
Complaint for injunction filed in Johnston County on 1/22/09.
Judgment of Permanent Injunction filed 4/15/09 enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.

### FORREST, JOHN
d/b/a PREMIUM BUILDERS
Cary NC
Plumbing and heating contracting without a license.
Complaint and Summons filed 9/18/08.
Judgment filed 1/28/09 enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.
GANTT, VERNON M., JR.
d/b/a EXPERT EXPRESS, INC.
Raleigh NC
License #6375 P-II and H-3-I
Misconduct and incompetence in violation of prior probation order in that Respondent contracted to install and installed a heating, group 3 system consisting of oversized equipment and undersized duct for the basement unit, installed only 2 ducts off the 2 ton package unit, failed to install grills on return and supply duct on original ducting installed with the package unit, used an over-sized condensing unit for the upstairs providing 42,000 BTU’s of cooling in an area for which load calculations only call for 25,180; failed to protect the lineset from the existing condensing unit outside running up the outside of the house into the attic, used silicone type product to seal the take-off on the duct system rather than the code required tape, mastic or gasket. Respondent also undertook to perform plumbing work without a contract and contrary to the express instructions of the property owner, failed to obtain a permit prior to beginning installation of water lines and installing washer outlet, drain, washing machine box, air admittance box and valve and prior to installation of gas logs, dryer vent and gas piping; failed to plug drain line allowing raw sewage to back up into home, failed to properly connect plastic pipe to water heater, installed new PEX pipe on relief valve; altered gas pipes on dryer with no pressure test and failed to install cut-off valves at either point where fuel gas line penetrates the wall behind the dryer. Respondent contends that a hearing would show the content of this disputed work passed inspection, other portions were carried out by the complainant’s family or unlicensed persons after Respondent was terminated, that no work was in fact deficient or that no harsh sanctions were justified because of the delay in bringing the matter before the Board.
Formal hearing 12/8/08.
Consent order issued 12/8/08 suspending the license of Respondent for nine months and upon completion placing the license of Respondent on probation for one year.

GORDON, JAMES BENJAMIN
d/b/a COUNTRY CONSTRUCTION CORP.
Benson, NC
Allegations of plumbing contracting without license.
Complaint filed 9/17/08.
Service obtained 9/25/08.
Judgment of Permanent Injunction filed 11/27/08 enjoining Defendant from engaging in the business of plumbing, heating or fire sprinkler contracting until properly licensed to do so.

HAM, WILLIAM SCOTT
d/b/a ReeDoo
York SC
Plumbing contracting without a license.
Complaint and Summons filed 10/20/08.
Consent Order offered to Defendant 11/11/08.
Defendant did not return executed Consent Order by 11/17/08.
Motion for Entry of Default and Entry of Default forwarded to Clerk for filing on 11/25/08.
Permanent Injunction Judgment entered 12/9/08 enjoining Defendant from engaging in the business of plumbing, heating or fire sprinkler contracting until properly licensed to do so.

HEWETT, DAVID
Murphy NC
Heating contracting without a license.
Complaint for Injunction filed 6/23/08.
Permanent Injunction Judgment entered 2/26/09 enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.

JENKINS, WAYNE
d/b/a JENKINS AND GROVES CONSTRUCTION
Eure, NC
Allegations of plumbing contracting without a license.
Complaint filed 9/23/08.
Service obtained 9/25/08.

JORDAN, RONALD BRENT
Clayton NC
Heating contracting without a license.
Permanent Injunction Judgment entered in Johnston County Superior Court on 2/2/09 enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.

MOUNCE, EDWARD L.
d/b/a MOUNCE HEATING & AIR CONDITIONING, INC.
Charlotte NC
Heating contracting without a license.
Complaint and Summons filed 1/28/09.
Consent Judgment filed 3/31/09 enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.

MULL, JOHN ALAN, JR.
d/b/a MULL’S PLUMBING REPAIR
Clyde NC
Plumbing contracting without a license.
Complaint and Summons filed.
Permanent Injunction Judgment entered on 12/29/08 in Haywood County Superior Court enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.
Attorney’s Report

POLITY, BRUCE RICHARD
d/b/a CAROLINA COMFORT HEAT & AIR, LLC
Wilmington, NC
License #23254 H-2&3-I
Allegations of incompetence in the business of heating contracting in that Respondent failed to failed to perform a Manual D duct design calculation; failed to install a system that would adequately heat and cool the residence and failed to balance the system; installed supply duct after the final inspection without a permit and inspection; failed to seal the seam of the sheet metal return air box serving the upstairs bonus room; failed to properly seal the supply boot fitting; installed flexible ducts obstructing the catwalk; failed to use primer to construct the PVC glue joint; installed numerous supply ducts with an excess number of turns and restrictions. In response to requests from Board staff, the Respondent carried out several actions including: (a) completely replaced the return; (b) straightened the supplies and removed them from the catwalk; (c) sealed the takeoffs, boots and sheet metal seams; (d) completed a load calculation and provided it to Board staff; and (e) borrowed the New Hanover County Inspection Department flow hood, balanced the system which improved airflow. The Resolution Committee of the Board directed this matter to be heard before the Board due to the absence of resolution of the issues surrounding maintenance of required design temperature throughout the dwelling. The Board found that in the absence of more demanding contractual obligations, systems in the Leland area of North Carolina are required to hold inside temperatures at 75 degrees, during the cooling cycle, at any outside temperature of 91 degrees or below. The choice of the property owner to set the thermostat at a temperature lower than 75 degrees during the cooling season has the effect of adding additional cooling to the lower floor by depriving the upper floor of cooling, the opposite of the result desired by the property owner. The lack of insulation in some parts of the attic or adjacent to the bonus room contributed to, but was not the sole cause of the failure of the system to hold temperature. The evidence is ample to support a finding here that, at the maximum outside design temperature of 91 degrees, the system will not maintain a 75 degree temperature in the house. The evidence before the Board was not sufficient to establish that, at the 91 degrees design temperature, the system will not maintain the temperature within a 4 degree range throughout the dwelling. Accurate outside temperature readings are an important part of calculation of performance at or near the design maximum temperature. Room by room heat loss/heat gain calculations carried out after the fact, demonstrated that the equipment was somewhat undersized.

The Board ruled that the standard of competence in North Carolina includes a room-by-room heat loss/heat gain calculation in all new construction and a whole house heat loss/heat gain calculation in replacement installations. Formal hearing held 2/9/09.
Order issued 2/24/09 by the Board gave due regard to the corrective actions taken by the Respondent after the Board investigation began and the disputes in evidence with respect to whether the system failed to maintain a maximum 4 degree temperature difference room-to-room, the Board found that no further disciplinary action was required and any remaining disputes between property owners and the contractor should be resolved in the judicial system. The Board also admonished that a room-by-room heat gain/heat loss calculation, carried out before installation, is required in new construction.

QUARG, THOMAS F.
King NC
Heating contracting without a license.
Complaint filed.
Service of process obtained 7/18/08.

Permanent Injunction Judgment filed 11/12/08 enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.

REAMS, BRANDON SHANE
d/b/a C AND A HEATING & AIR
Complaint filed.
Service of process obtained 7/18/08.
Judgment of Permanent Injunction entered 1/2/09 enjoining Defendant from engaging in the business of plumbing, heating or fire sprinkler contracting until properly licensed to do so.

RICHARDSON, JOHN DANIEL
Little River SC
Heating contracting without a license.
Complaint filed 1/15/09.
Permanent Injunction Judgment filed 2/20/09 enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.

SAMMARCO, JOHN JOSEPH
d/b/a LIBERTY PLUMBING CONTRACTORS
Raleigh, NC
License No. 23144 P I
Failure to comply with provisions of a Probation Order in that Respondent failed to submit quarterly reports, acknowledged that he did perform work requiring license during the probation period and took a full-time job during the period of probation. Formal hearing held 1/13/09.
Order issued 1/23/09 suspending the license of Respondent as a Plumbing, Class I contractor for one year. Upon completion of the period of suspension and presentation of evidence that he has taken and successfully passed the Board-sponsored Contractor’s Business course and course on Board Laws and Rules, license may be reinstated.
SMITH, BARRY E.
Sanford NC
Plumbing contracting without a license.
Complaint and Summons filed 1/15/09.
Permanent Injunction Judgment filed 3/18/09 enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.

SPOERER, JOHN
Surf City, NC
Heating contracting without a license.
Complaint and Summons filed with Clerk.
Answer filed by Defendant.
Order granting Summary Judgment issued in Onslow County Superior Court on 1/12/08 enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.

SUTTERFIELD, W. RICK
d/b/a Valasquez Construction Corp.
Rochelle, VA
Allegation of plumbing contracting without a license.
Complaint and Summons filed.
Defendant served 10/2/08 via certified mail.
Default Judgment filed 1/14/09.

TOWNSEND, CHRIS
Lenoir NC
Plumbing contracting without a license.
Complaint and Summons filed 3/9/09.
Permanent Injunction Judgment filed 4/9/09 enjoining defendant from engaging in business as a plumbing, heating or fire sprinkler contractor until properly licensed to do so.

WILLIAMS, WILLIS RAY
d/b/a WILL’S HVAC SERVICE, INC.
Elizabeth City, NC
Violation of Board Order in that Respondent failed to comply with provisions of the Board Order to refund the unearned funds to the homeowner and failed to submit quarterly reports to the Board.
Formal Hearing held 12/8/08.
Order issued by the Board 12/9/08 extending the time within which Respondent can make restitution to the homeowners and submit reports to the Board to 4/15/09.

WISE, JONATHAN
d/b/a WISE CHOICE BUILDERS
Eden, NC
Heating contracting without a license.
Complaint for Injunction filed.
Permanent Injunction Judgment filed 10/8/08 forbidding the defendant from contracting without license with contempt of Court as the consequence.
Notice of Change of Address

License Holder: ___________________________________________________________________________

Last Name     First     Middle

License Number: _______________ E-mail Address: ____________________________________________

Physical Home Address: ___________________________________________________________________

City:  ________________________________________ State: ___________ Zip Code:  _________________

Mailing Home Address: ___________________________________________________________________

City:  _______________________________________ State: ___________ Zip Code:  _________________

Physical Business Address: _________________________________________________________________

City:  _______________________________________ State: ___________ Zip Code:  _________________

Mailing Business Address: _________________________________________________________________

City:  _______________________________________ State: ___________ Zip Code:  _________________

Telephone: Bus. (       ) ___________________________ Home (       ) _______________________________

Signature: ________________________________________ Date:  ______________________

(Must be signed by licensee in order to be processed)

Mail to:  State Board of Examiners of Plumbing, Heating & Fire Sprinkler Contractors
          1109 Dresser Ct.
          Raleigh, NC 27609

Fax to: 919-875-3616